



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 016778/0398

RCE # 03  
2700  
#20  
MOS  
4.14.04

Applicant: Ryuichi KATAYAMA  
Title: OPTICAL SYSTEM FOR DETECTING DATA SIGNAL AND TRACKING ERROR SIGNAL  
Application No.: 09/442,773  
Filing Date: November 18, 1999  
Examiner: Psitos, Aristotelis M.  
Art Unit: 2653  
Allowed Date: January 13, 2004

RECEIVED

FEB 05 2004

Technology Center 2600

**REQUEST FOR CONTINUED EXAMINATION (RCE)**  
**TRANSMITTAL**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. This RCE and the enclosed items listed below are being filed prior to the earliest of: (1) payment of the issue fee (unless a petition under 37 C.F.R. § 1.313 is granted); (2) abandonment of the application; or (3) the filing of a notice of appeal to the U.S. Court of Appeals for the Federal Circuit under 35 U.S.C. § 141, or the commencement of a civil action under 35 U.S.C. § 145 or § 146 (unless the appeal or civil action is terminated).

1. Submission required under 37 C.F.R. § 1.114: (check items that apply)

- a. Previously submitted:
- ☐ Please enter and consider the amendment/reply previously filed on \_\_\_\_.
  - ☐ Please consider the Affidavit(s)/Declaration(s) previously filed on \_\_\_\_ but not considered.
  - ☐ Please consider the arguments in the Appeal Brief or Reply Brief under 37 C.F.R. § 1.116 previously filed on \_\_\_\_.
- b. Enclosed are:
- ☒ Amendment Accompany RCE.
  - ☐ Affidavit(s)/Declaration(s).
  - ☐ Information Disclosure Statement.
  - ☐ Form PTO-1449 with copies of \_\_\_\_ listed reference(s).
  - ☒ Transmittal of Formal Drawings (with 12 sheets of formal drawings that include Figures 1-24)

**Match and Return**

02/05/2004 HWDING 00000040 09442773

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770.00 DP

## Miscellaneous:

- ☐ Suspension of action of the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_ months.

The filing fee is calculated below:

	Claims as Amended	Previously Paid For	Extra Claims Present	Rate	Fee Totals
RCE Fee 1.17(e):				\$770.00	= \$770.00
Total Claims:	3	- 20	= 0	x \$18.00	= \$0.00
Independents	2	- 3	= 0	x \$86.00	= \$0.00
First presentation of any Multiple Dependent Claims:				+ \$290.00	= \$0.00
CLAIMS FEE TOTAL:					= \$770.00
 <input type="checkbox"/> Applicant hereby petitions for an extension of time under 37 C.F.R. § 1.136(a) for the total number of months checked below:					
<input type="checkbox"/> Extension for response filed within the first month:				\$110.00 0	\$0.00
<input type="checkbox"/> Extension for response filed within the second month:				\$420.00	\$0.00
<input type="checkbox"/> Extension for response filed within the third month:				\$950.00	\$0.00
<input type="checkbox"/> Extension for response filed within the fourth month:				\$1,480.00	\$0.00
<input type="checkbox"/> Extension for response filed within the fifth month:				\$2,010.00	\$0.00
EXTENSION FEE SUBTOTAL:					\$0.00
EXTENSION FEE ALREADY PAID:					\$0.00
EXTENSION FEE TOTAL					\$0.00
CLAIMS AND EXTENSION FEE TOTAL:					\$770.00
<input type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):					\$0.00
<input type="checkbox"/> Suspension of action requested under 37 C.F.R. § 1.103(c)					\$0.00
TOTAL FEE:					\$770.00

- ☐ Please charge Deposit Account No. 19-0741 in the amount of \$770.00. A duplicate copy of this transmittal is enclosed.
- ☒ A check in the amount of \$770.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

February 4, 2004  
Date

Phillip J. Articola  
Phillip J. Articola  
Registration No. 38,819

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